



L. Park
9-7-02
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PATENT

Docket No. 355592000200

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

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Assistant Commissioner for Patents, Washington, D.C. 20231, on August 5, 2002.


Jose Luna

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Roger DELUSIGNAN and Jeffrey DAVIS

Serial No.: 10/042,766

Filing Date: January 8, 2002

For: SYSTEMS AND METHODS FOR
EVALUATING PATIENT-SPECIFIC
INFORMATION AND PROVIDING
PATIENT MANAGEMENT
RECOMMENDATIONS FOR
HEALTHCARE PROVIDERS

Examiner: To be assigned

Group Art Unit: 3736

RECEIVED
AUG 15 2002
TECHNOLOGY CENTER R3700

**INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97**

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☐ A fee is required. A check in the amount of * is enclosed.
 - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of * is enclosed.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.


The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to

charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 355592000200. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: August 2, 2002

Respectfully submitted,

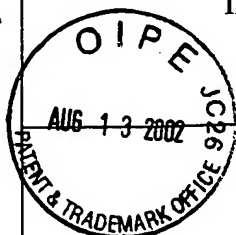
By: 
Laurie A. Axford
Registration No. 35,053

Morrison & Foerster LLP
3811 Valley Centre Drive
Suite 500
San Diego, California 92130-2332
Telephone: (858) 720-5133
Facsimile: (858) 720-5125

Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)



Docket Number 355592000200

Application Number 10/042,766

Applicant

Roger DELUSIGNAN and Jeffrey DAVIS

Filing Date January 8, 2002

Group Art Unit 3736

Mailing Date August 5, 2002

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	06/1993	5,216,597	Beckers	364	413.02	
	2.	10/1998	5,827,180	Goodman	600	300	
	3.	11/1999	5,993,386	Ericsson	600	300	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
	4.	05/1999	0917078	EP			
	5.	01/2000	WO 00/03344	WIPO			
	6.	11/2000	WO 00/72181	WIPO			
	7.	11/1998	WO 98/50871	WIPO			
	8.	11/1999	WO 99/59469	WIPO			

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
	9.	Wood et al. "Prevention of Coronary Heart Disease in Clinical Practice" Eur. Heart J. 19:1434-1503 (1998)

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EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.



3736

PTO/SB/21 (08-00)

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number Of Pages In This Submission

5

Application Number

10/042,766

Filing Date

January 8, 2002

First Named Inventor

Roger DELUSIGNAN

Group Art Unit

3736

Examiner Name

To be assigned

Attorney Docket No.

355592000200

ENCLOSURES (check all that apply)

- | | | |
|--|--|---|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers
(for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Reply | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group
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PTO form 1449; and 9 references | <input type="checkbox"/> Request for Refund | |
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TECHNOLOGY CENTER HS700**SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**

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Individual Name

Laurie A. Axford

Registration No. 35,053

Signature

Date

August 2, 2002

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Jose Luna

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